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APPLICATION NUMBER	FILING OR 371 (c) DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
10/768,978	01/29/2004	Joff Hamilton-Dick	056770-00003

31013
 KRAMER LEVIN NAFTALIS & FRANKEL LLP
 INTELLECTUAL PROPERTY DEPARTMENT
 919 THIRD AVENUE
 NEW YORK, NY 10022

CONFIRMATION NO. 4563

FORMALITIES LETTER



OC000000013627134

Date Mailed: 08/24/2004

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

10/22/2004 WASFAW1 00000018 500540 10768978

01 FC:2051 65.00 OP
 02 FC:2001 10.00 DA 385.00 OP

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

Items Required To Avoid Abandonment:

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.
Applicant must submit \$ 770 to complete the basic filing fee for a non-small entity. If appropriate, applicant may make a written assertion of entitlement to small entity status and pay the small entity filing fee (37 CFR 1.27).
- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.

The application is informal since it does not comply with the regulations for the reason(s) indicated below.

The required item(s) identified below must be timely submitted to avoid abandonment:

- Replacement drawings in compliance with 37 CFR 1.84 and 37 CFR 1.121 are required. The drawings submitted are not acceptable because:
 - The drawings have a line quality that is too light to be reproduced (weight of all lines and letters must be heavy enough to permit adequate reproduction) or text that is illegible (reference characters, sheet numbers, and view numbers must be plain and legible) see 37 CFR 1.84(l) and (p)(1)); See Figure(s) 8C.
 - The drawings submitted to the Office are not electronically reproducible. Drawing sheets must be submitted on paper, which is flexible, strong, white, smooth, non-shiny, and durable (see 37 CFR 1.84(e)). See Figure(s) 3A, 3B, 7, 10E and 10J.

SUMMARY OF FEES DUE:

Total additional fee(s) required for this application is **\$900** for a Large Entity

- **\$770** Statutory basic filing fee.
- **\$130** Late oath or declaration Surcharge.

Replies should be mailed to: Mail Stop Missing Parts
Commissioner for Patents
P.O. Box 1450
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*A copy of this notice **MUST** be returned with the reply.*



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Initial Patent Examination Division (703) 308-1202

PART 1 - ATTORNEY/APPLICANT COPY



Customer No. 31013

Docket No.: 56770/3

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Inventive Application of: Hamilton-Dick, J.

Serial No.: 10/768,978

Filing Date: January 29, 2004

For: COLLECTABLE COMPONENT GAMING APPARATUS AND
METHOD

Mail Stop Missing Parts
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to Mail Stop Missing Parts, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on:

Date:

10-19-04

Signature:

Carrie L. Caggiano

Sir:

**RESPONSE TO NOTICE TO FILE MISSING PARTS OF
NONPROVISIONAL APPLICATION**

To complete the filing requirements for the above-referenced application under 37 CFR 1.53(b), enclosed please find an executed combined Declaration and Power of Attorney. Also enclosed are twenty-five (25) sheets of replacement drawings in compliance with 37 CFR 1.84 and 37 CFR 1.121. A copy of the Notice to File Missing Parts of Application mailed August 24, 2004 is also enclosed.

Applicant hereby asserts that it is a small entity under 37 CFR 1.27. A check in the amount of \$450.00 is enclosed in payment of the total additional fee(s) for a small entity as set forth in the Notice. No additional fee(s) are believed to be due in connection with the filing of this Response. However, the Director is hereby authorized to charge such fee(s) or credit any overpayment in connection with this Response to Deposit Account No. 50-0540.

Respectfully submitted,

Dated: October 19, 2004

Aaron S. Haleva, Reg. No. 44,733
KRAMER LEVIN NAFTALIS & FRANKEL LLP
919 Third Avenue
New York, New York 10022
(212) 715-9100 (telephone)
(212) 715-8000 (facsimile)